

**COMMONWEALTH OF MASSACHUSETTS**

**STANDARD FORMS**

**FOR**

**SUBCONTRACTOR PREQUALIFICATION**

(pursuant to M.G.L. c. 149, § 44D<sup>3</sup>/<sub>4</sub> and 810 CMR 10.00)

***REQUEST FOR QUALIFICATIONS***

**and**

***STATEMENT OF QUALIFICATIONS***

***City of New Bedford***

***QUITTACAS WTP PHASE 2 UPGRADES  
PROJECT # 24450003-S (DWSRF – 7172)***

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**Part One: RFQ - (to be completed by Awarding Authority)**

**Section I: Project Information**

**A. General Information**

<b>Awarding Authority:</b>	City of New Bedford
<b>Project No.:</b>	24450003-S (DWSRF – 7172)
<b>Project Name:</b>	Quittacas WTP Phase 2 Upgrades
<b>Project Location:</b>	1 Negus Way, East Freetown, MA 02717
<b>Project Description:</b>	<p>The work of this project includes, but is not limited to, the following:</p> <ul style="list-style-type: none"> <li>• Provide facility-wide SCADA system upgrades, including construction of a new SCADA control room, SCADA servers, ethernet switches, firewall hardware, and workstations;</li> <li>• Provide facility-wide HVAC system upgrades;</li> <li>• Provide fire protection system upgrades;</li> <li>• Provide filter control valves, finished water pump control valves</li> <li>• Provide pretreatment and sedimentation basin upgrades; and</li> <li>• Provide administration and bathroom upgrades.</li> </ul>
<b>RFQ Informational Meeting (if applicable):</b>	None Scheduled
<b>Optional Site Visit (if applicable):</b>	None Scheduled
<b>Submission Deadline:</b> (for submission of SOQs in response to this RFQ)	8/9/2023 at 2 pm
<b>Submission Address:</b>	<p>One (1) bound original signed proposal, tabbed to identify each “Schedule” spreadsheet.</p> <p>One (1) proposal copy that includes the required signed documents on a USB.</p> <p><b>Send to:</b></p> <p>City of New Bedford  Purchasing Department, Room 208  133 William Street  New Bedford, MA 02740</p>

	<b>Direct to:</b> <b>Molly Gilfeather, Director of Purchasing</b>
<b>Estimated Construction Cost:</b>	\$15,000,000
<b>Estimated Project Duration:</b>	730 Calendar Days
<b>Owner's Project Manager:</b>	Shawn Syde, P.E. 1105 Shawmut Avenue New Bedford, MA 02746 tel. 508-979-1550
<b>Project Engineer:</b>	Marc F. Weller, P.E., PMP CDM Smith, Inc. 3 Davol Square, Suite A-425 Providence, RI 02903 tel. 401-457-0311
<b>Project Specific Requirements (if applicable):</b>	4.2 percent D/MBE participation 4.5 percent D/WBE participation

DCAMM Standard Sub Prequal Pkg (Jan 18)

Check Below If Trade Will Be Prequalified on this Project	Section #	Trade Category	Estimated Construction Cost for Subtrade
	040001	Masonry	
	044001	Stone	
	050001	Miscellaneous and Ornamental Iron	
	070001	Waterproofing, Dampproofing and Caulking	
	070002	Roofing and Flashing	
	080001	Metal Windows	
X	080002	Glass and Glazing	\$80,000
	090001	Lathing and Plastering	
	090004	Tile	
	090005	Terrazzo	
X	090002	Acoustical Tile	\$25,000
	090003	Marble	
	090007	Resilient Floors	
	090007	Painting	
	140001	Elevators	
X	210001	Fire Protection	\$550,000
	220001	Plumbing	
	230001	Heating, Ventilation & Air- Conditioning	
	260001	Electrical	

## **Section II: Detailed Project Description**

The City of New Bedford is currently pursuing prequalified contractors for the construction of the second phase of upgrades at the Quittacas Water Treatment Plant (WTP). This project involves a wide range of critical upgrades aimed at improving and optimizing the WTP's operations. The work project also aims at enhancing the overall efficiency, functionality, and safety of the facility. This project will continue to accomplish the City's goals of protecting public health, enhancing water quality, improving facilities, and maintaining a safe and reliable water supply for all of the water system's customers by performing needed rehabilitation and making much needed facility upgrades.

The work of this project includes, but not limited to, the following:

- Provide facility-wide SCADA system upgrades, including construction of a new SCADA control room, SCADA servers, ethernet switches, firewall hardware, and workstations;
- Provide facility-wide HVAC system upgrades;
- Provide facility-wide fire protection system upgrades;
- Provide filter control valves and finished water pump control valves;
- Provide various pretreatment system upgrades, including chain and flights and sedimentation basin upgrades, process drain pump system, raw water mixer; telescopic sludge valves; mud valves; alum piping and other appurtenances; and
- Provide various administration and bathroom upgrades.

**\*Note:** In order to get the best quality responses to this RFQ, the Awarding Authority is advised to provide as much information as possible in this section.

### **Section III: General Instructions**

In response to this *RFQ*, interested Subcontractors are required to submit a *Statement of Qualifications* (“SOQ”) application package as follows:

#### **A. Contents Of *Statement Of Qualifications* Application Package**

The required *SOQ application package* consists of the following:

1. *Sub RFQ Form 2*
2. *Schedules A through K to Sub RFQ Form 2;*
3. all supporting documentation referenced and required therein; and
4. required number of copies of items 1-3 above.

#### **B. Submission Deadline: See *Section I: General Information***

SEALED Qualifications statements may be submitted two ways:

1) One (1) bound original signed proposal, tabbed to identify each “Schedule” spreadsheet.

One (1) proposal copy that includes the required signed documents on a USB.

Send to:  
City of New Bedford  
Procurement Department, Room 208  
133 William Street 02740

Attention: Molly Gilfeather Rodriguez, Director of Purchasing

\*Please include page tabs for each attachment SCHEDULE\*

**C. Required Exterior Label For *SOQ Application Package Envelope***

SOQs will not be read publicly and should be submitted in a sealed envelope. All envelopes **must be labeled on the outside** with the following information:

<b>RFQ for Subcontractor Services</b>
<b>Awarding Authority Name: City of New Bedford</b>
<b>Project Name: Quittacas WTP Phase 2 Upgrades</b>
<b>Project Number: 24450003-S (DWSRF – 7172)</b>
<b>Respondent Subcontractor’s Name:</b>
<b>Respondent Subcontractor’s Address:</b>
<b>Respondent Subcontractor’s Telephone #:</b>
<b>Respondent Subcontractor’s Contact Person:</b>

**D. *RFQ* Informational Meeting (if applicable)**

If indicated in *Section I*, an informational meeting regarding the prequalification process for this Project will be held. (If applicable, attendance is optional for those interested in responding to the *RFQ*.)

**E. Optional Site Visit**

If indicated in *Section I*, a site visit will be available during the *RFQ* Phase. (If applicable, attendance is optional for those interested in responding to the *RFQ*.)

**F. Review/Availability Of Contract Documents**

Drawings, specifications and other documents will not be available to respondent Subcontractors during the *RFQ* Phase.

**G. General Contractor Prequalification**

The Project will require prequalification of General Contractors. If applicable, General Contractors will be prequalified to submit general bids under a separate ***RFQ*** and prequalification process:

## H. Additional Instructions

See *Section VII: Additional Information* for additional instructions regarding the prequalification process.

### **Section IV: Overview Of Prequalification Process**

- This *Request for Qualifications* (“RFQ”) is issued pursuant to M.G.L. c. 149, § 44D¾. Firms interested in providing Subcontractor services for the construction of the project described in *Section I and Section II* (“the Project”) MUST submit a *Statement of Qualifications* (“SOQ”) in response to this RFQ to the Awarding Authority as instructed in *Section III and Section VIII*, herein.
- The Subcontractor selection process for this Project is a two-phase process as set forth in M.G.L. c. 149, § 44D¾. The project delivery method for construction will be under M.G.L. c. 149. The Awarding Authority is prequalifying firms interested in providing Subcontractor services for the Project through this RFQ prequalification process.

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

**Participation in the RFP Bidding Phase of this Project will be limited to ONLY those Subcontractor firms who have submitted a SOQ (and required supporting documentation) in response to this RFQ and that have been deemed prequalified by the Awarding Authority. Subcontractors that fail to respond to this RFQ and submit a SOQ with the required supporting documentation by the Submission Deadline set forth in Section I and Subcontractors that have not been deemed prequalified by the Awarding Authority shall be automatically disqualified from bidding on this Project.**

**The Awarding Authority is prequalifying All Subcontractors and is Prequalifying General Contractors for this Project. Therefore, responses to this RFQ will be considered ONLY for the prequalification of Subcontractors that, if deemed prequalified will be invited to submit a bid pursuant to M.G.L. c. 149, § 44F. Any contractor seeking to be prequalified as both a General Contractor and Subcontractor for a particular filed sub-bid trade(s) shall be required to file separate responses to BOTH this RFQ and the RFQ issued to prospective General Contractors for this Project.**

\*\*\*\*\* **IMPORTANT NOTICES** \*\*\*\*\*

## **Section V: Administration/Schedule For Prequalification Process**

### **A. “Two-Phase” Selection Process**

Selection of Subcontractors for the Project will be conducted in a **two-phase** process as set forth in M.G.L. c. 149, § 44D<sup>3/4</sup>. Subcontractor firms must first be prequalified in the *Phase One – RFQ/Prequalification Phase* in order to bid on the Project in *Phase 2 – RFP/Bidding Phase*.

#### **1. *Phase One – RFQ/Prequalification Phase***

- **Submission Of *Statement Of Qualifications (“SOQ”)*** - Interested Subcontractor firms must submit a completed SOQ; completed *Schedules A through K*, and all required supporting documentation referenced therein in response to this *RFQ* by the *Submission Deadline* set forth in *Section I*.
- **Prequalification Committee** –The Awarding Authority has appointed a *Prequalification Committee* to review and evaluate the SOQs (and supporting documentation) submitted by interested Subcontractors. The *Prequalification Committee* shall consist of one (1) representative from the Project Designer and three (3) representatives from the Awarding Authority.
- **Evaluation By Prequalification Committee** - The *Prequalification Committee* shall meet as necessary to evaluate and review the SOQs (and supporting documentation) submitted by each respondent Subcontractor firm in accordance with the evaluation criteria set forth in *Section VI*.
- **Notice To Respondent Subcontractors** – The Awarding Authority anticipates concluding the *RFQ* evaluation and review process within the time set forth in *Section V(B) herein*. Upon completion of the evaluation and review process described herein, the *Prequalification Committee* shall provide written notice to all respondent Subcontractors as to whether they are deemed prequalified or not. Prequalified Subcontractor firms shall also be invited to participate in *Phase Two*, the *RFP/Bidding Phase* of the Subcontractor selection process.

**2. Phase Two – RFP/Bidding Phase**

- Subcontractor firms determined in *Phase One* by the *Prequalification Committee* to be prequalified will be invited to bid on the Project. **Only firms deemed prequalified during Phase One – RFQ/Prequalification Phase will be permitted to participate in Phase Two, the RFP/Bidding Phase. Firms that are not prequalified by the Prequalification Committee and firms that do not participate in the RFQ phase will be precluded from participating in Phase Two - the RFP Bidding/Phase.**

**B. Anticipated Schedule For Prequalification/Bidding**

<b>Anticipated Completion of Prequalification Evaluations:</b>	<b>08/11/2023</b>
<b>Anticipated RFP to Prequalified Bidders:</b>	<b>08/16/2023</b>
<b>Anticipated Notice To Proceed:</b>	<b>09/29/2023</b>
<b>Anticipated Construction Schedule:</b>	<b>730 Calendar Days</b>

**Section VI: Evaluation Procedure/Criteria For Prequalification Selection**

**A. Sources of Information Considered**

Respondent Subcontractors must submit documentation for the four (4) statutory evaluation criteria categories listed herein. Prequalification will be based on the submitted information and materials as well as information on prior project performance, information obtained from references, information obtained from governmental agencies and entities, information contained within DCAMM's certification files, and such other information as may be obtained relating to the evaluation criteria categories. The *Prequalification Committee* may also request and review additional information as necessary to clarify or supplement the information provided to or obtained by the Awarding Authority.

Do not include superfluous material. You must include the *SOQ Response Form*, *Sub RFQ Form 2* and *Schedules K through J* attached hereto. You must give complete and accurate answers to all questions and provide all of the information requested. Making a materially false statement in this SOQ submission is grounds for rejection and debarment.

## B. Evaluation Procedure

As set forth herein and in accordance with M.G.L. c. 149, § 44D<sup>3</sup>/<sub>4</sub> and 810 CMR 9.00, the Awarding Authority has established a *Prequalification Committee* for the purpose of reviewing and evaluating responses to this RFQ. The *Prequalification Committee* shall evaluate interested Subcontractors based on the evaluation criteria set forth herein and assign points for each evaluation criterion category and subcategory provided herein. The *Prequalification Committee* shall prepare a written evaluation score form for each respondent Subcontractor that provides a composite point rating and a specific point rating for each of the evaluation criterion set forth in M.G.L. c. 149 and herein. The *Prequalification Committee* shall only prequalify those Subcontractor firms that have achieved the minimum points required in each category set forth herein and a minimum total score of seventy (70) points.

***Only Subcontractor firms achieving the minimum score required in each evaluation category set forth herein, as well as a minimum total score of seventy (70) points overall shall be prequalified and invited to submit bids consistent with M.G.L. c. 149, § 44F. An interested Subcontractor's score shall be made available to the Subcontractor upon request.***

The decision of the *Prequalification Committee* shall be final and shall not be subject to appeal except on grounds of fraud or collusion.

## C. Criteria For Prequalification

SOQs must be submitted on the *Sub RFQ Form 2* attached hereto. Interested Subcontractors submitting a SOQ and supporting information in any other form will not be prequalified. The *Prequalification Committee* shall review and evaluate the information submitted by interested Subcontractors in accordance with the statutory point scheme set forth in M.G.L. c. 149, § 44D<sup>3</sup>/<sub>4</sub>. Also in accordance with § 44D<sup>3</sup>/<sub>4</sub>, the RFQ shall set forth the available points for each evaluation sub-category in order to provide interested Subcontractors prior notice of the points available in each sub-category.

### ***1. Management Experience - (50 points available in this category; minimum of 25 points required in this category for prequalification approval)***

- a. ***Business Owners:*** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work and numbers of years with the firm for each of the business owner(s) of the firm. If the respondent

Subcontractor is a partnership, **YOU MUST** provide the requested information for each general and limited partner. If the respondent Subcontractor is a corporation or limited liability company, **YOU MUST** provide the requested information for each officer, director and/or member. *(5 points available)*

- b. **Management Personnel:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work, education, construction experience, years with the firm and list of all projects completed for all management personnel who will have any direct or indirect responsibility over the Project, including but not limited to project executives, project managers, field superintendents and field engineers. *(15 points available)*
- **Similar Project Experience:** Provide the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each and every similar project undertaken by the firm in the last **10** years. For purposes of this RFQ, “similar projects” shall mean **any and all projects that involved drinking water treatment plant construction upgrades that included two or more of the following project elements:**
- **Pretreatment improvements (i.e., rapid mixing, flocculation, sedimentation)**
  - **Valve and powered valve actuator replacement**
  - **Instrument and control (i.e., SCADA)**
  - **HVAC systems;**
  - **Structural repairs; and**
  - **Architectural improvements**
- (10 points available)*
- c. **Terminations:** Provide a list of any projects on which the firm was the Subcontractor and was terminated, held in default, or failed to complete the work. Include the name of the project, the timeframe of the project and circumstances surrounding the termination or default. (Note: Awarding Authority may elect to limit reporting time period.) *(5 points available)*
- d. **Legal Proceedings:** Provide information regarding each and every legal proceeding, administrative proceeding and arbitration pending against the Subcontractor. In addition, provide information regarding each and every legal

proceeding, administrative proceeding or arbitration concluded adversely to the Subcontractor within the past three (3) years, which relate to the procurement or performance of any public or private construction contract. *(5 points available)*

- e. **Safety Record:** Provide the three (3) year history of the Subcontractor's workers' compensation experience modifier. In addition, provide documentation from the Subcontractor's

insurance carrier supporting the rating history provided.  
(5 points available)

**2. References - (30 points available in this category; minimum of 15 points required in this category for prequalification approval)**

- a. Project References:** Provide reference information for owners and architects for each and every project listed in your response to *Section VI(C)(1)(c)*. Information provided shall at least include project name and the names of the owners and architects, with current address, current telephone and fax numbers, and contact person for each. Note: The Prequalification Committee may also consider project reference information and project evaluations obtained from the Update Statement, DCAMM's certification files and information provided in response to *Subsection 2(c)* herein. (10 points available)
- b. Credit References:** Provide a minimum of five (5) credit references, including the telephone and fax numbers of a contact person from key suppliers, vendors and banks. (10 points available)
- c. Public Project Record:** Provide a list of all completed public building construction projects (as defined by M.G.L. c. 149, § 44A) worked on during the past three (3) years with the project name, scope of work, contract value, start date, completion date, status of the project, owner's name (including address, telephone number, fax number, and contact person) and architect's name (including address, telephone number, fax number and contact person). (10 points available)

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3. **Capacity to Complete Projects - (20 points available in this category; minimum of 10 points required in this category for prequalification approval)**
- a. **Prior Revenue:** Submit the prior annual revenue for the last three (3) fiscal years. (10 points)
  - b. **Revenue Under Contract:** Submit revenue under contract for the next three (3) years. (10 points)
4. **Mandatory Requirements - (no points assigned)**
- a. **Bonding Capacity:** Interested Subcontractors must provide a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on the United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost for the subtrade that the Subcontractor is seeking prequalification as set forth in *Section I*.
  - b. **DCAMM Certification:** Interested Subcontractors must provide a currently valid *Certificate of Eligibility* issued by DCAMM to the Subcontractor pursuant to M.G.L. c. 149, § 44D.
  - c. **Update Statement:** Interested Subcontractors must provide a current and completed Update Statement prepared by the interested Subcontractor.

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## **Section VII: Additional Information**

### **A. Status Of Request For Qualifications**

This *RFQ* is solely a request for information. It does not represent an offer nor does it confer any rights on any respondent Subcontractor. The Awarding Authority shall not be responsible under any circumstances for any costs incurred by any respondent Subcontractors to this *RFQ*. The Awarding Authority reserves the right to cancel this procurement at any time if it is in its the best interest to do so.

### **B. Treatment Of Information Submitted**

With the exception of the required audited financial statements, the Awarding Authority shall have no obligation to treat any information submitted by an interested Subcontractor in or in connection with a *SOQ* as proprietary or confidential unless the Awarding Authority determines that the information legitimately requires such treatment. In such case, the Awarding Authority's obligation with respect to protection and disclosure of such information shall at all times be subject to applicable laws. The Awarding Authority shall have the right to use all or portions of the *SOQ*, as it considers necessary or desirable in connection with the Project. By the submission of a *SOQ*, the respondent Subcontractor thereby grants to the Awarding Authority an unrestricted license to use the *SOQ*, including all materials submitted therewith, in connection with the project.

### **C. Communication Between Awarding Authority and Respondent Subcontractors**

Unauthorized communications or contact between Subcontractors, their employees, agents or other related entities interested in submitting a *SOQ* and the Awarding Authority, the project designer, the project manager, or any other person or entity participating on the Prequalification Committee with regard to the Project are strictly prohibited. The only authorized communications shall be 1) inquiries to the Awarding Authority for general information about obtaining the *RFQ*, *RFQ* submission deadlines, and the existence of any relevant addenda to the *RFQ*; and 2) inquiries made at the official Pre-*RFQ* Submission meeting held by the Awarding Authority. If applicable, the official Pre-*RFQ* Submission Meeting will be held at the date and time set forth in *Section I*.

Any issues brought to the Awarding Authority's attention at the Pre-*RFQ* Submission meeting, which the Awarding Authority determines will require additional clarification will be addressed by issuing a written addendum. Oral and other clarifications will be without legal effect. All such addenda will be considered part of this *RFQ*, and the respondent Subcontractor

shall be required to acknowledge receipt of all addenda on the RFQ Proposal Response Form attached to this RFQ. The Awarding Authority will submit addenda to all respondent Subcontractors that have mailed an RFQ Interest Form, *Sub RFQ* Form 1 to the Awarding Authority or have received the RFQ directly from the Awarding Authority and will post the addenda on the web site <https://www.newbedford-ma.gov/purchasing/request-proposals-rfps-invitation-bids-ifbs/> in the listing for this Project. It shall be the sole responsibility of the respondent Subcontractor to ascertain the existence of any and all addenda issued by the Awarding Authority.

From the date of issuance of this RFQ, any respondent Subcontractor that contacts directly or indirectly any member or employee of the Awarding Authority, or the project designer, or the project manager, or any member of the *Prequalification Committee* in connection with the selection process or the contract contemplated herein for this project is subject to disqualification. Contact is permitted for the purpose of obtaining a copy of this RFQ or to submit a verbal or written question or request for clarification at the Pre-RFQ Submission meeting as set forth in *Section I*.

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**Statement of Qualifications Application for Subcontractors  
Sub RFQ Form 2**

**Note:** See Sections III and VII of Part One, the RFQ for this Project, for instructions on completing this Statement of Qualifications and accompanying Schedules A through K.

<b>Project Name:</b>	Quittacas WTP Phase 2 Upgrades
<b>Project No:</b>	24450003-S (DWSRF – 7172)
<b>Awarding Authority:</b>	City of New Bedford
<b>Subcontractor Name:</b>	
<b>Subcontractor Mailing Address:</b>	
<b>Subcontractor Street Address:</b>	
<b>Telephone Number:</b>	
<b>Facsimile Number:</b>	
<b>Contact Person/Title:</b>	

**Note:** YOU MUST indicate in the table below each and every subtrade for which you are seeking prequalification. YOU MUST submit a separate SOQ for each and every one.

	<b>Section #</b>	<b>Trade Category</b>
<input type="checkbox"/>	04101	Masonry
<input type="checkbox"/>	04401	Stone
<input type="checkbox"/>	05101	Miscellaneous and Ornamental Iron
<input type="checkbox"/>	07101	Waterproofing, Dampproofing and Caulking
<input type="checkbox"/>	07201	Roofing and Flashing
<input type="checkbox"/>	08501	Metal Windows
<input type="checkbox"/>	08801	Glass and Glazing
<input type="checkbox"/>	09201	Lathing and Plastering
<input type="checkbox"/>	09301	Tile
<input type="checkbox"/>	09401	Terrazzo
<input type="checkbox"/>	09501	Acoustical Tile
<input type="checkbox"/>	09601	Marble
<input type="checkbox"/>	09701	Resilient Floors
<input type="checkbox"/>	09901	Painting
<input type="checkbox"/>	14201	Elevators
<input type="checkbox"/>	15301	Fire Protection
<input type="checkbox"/>	15401	Plumbing
<input type="checkbox"/>	15501	Heating, Ventilation & Air-Conditioning
<input type="checkbox"/>	16101	Electrical
<input type="checkbox"/>	[Section #]	Other

- 1. Management Experience - (50 points available; minimum of 25 points required for prequalification approval)**

- a. ***Business Owners (5 points):*** Interested Subcontractors **MUST COMPLETE Schedule A** and **MUST ATTACH** to it a resume for each and every business owner of your firm as set forth in *Section VI(C)(1)(a)* of *Part One*, the RFQ for this Project.
  - b. ***Management Personnel (15 points):*** Interested Subcontractors **MUST COMPLETE Schedule B** and **MUST ATTACH** to it a resume for each and every person who will have **any** management responsibility, direct or indirect, for the Project, including, but not limited to, project executives, project managers, field superintendents and field engineers, as set forth in *Section VI(C)(1)(b)* of *Part One*, the RFQ for this Project.
  - c. ***Similar Project Experience (10 points):*** Interested Subcontractors **MUST COMPLETE Schedule C** and list similar projects for the last *[Awarding Authority To Set Reporting Time Period]* years. For each project, you must include the name, description of project, description of your firm's scope of work, original contract sum, final contract sum (with explanation) and date completed. For the purpose of this RFQ, "similar projects" shall be defined as defined in *Section VI(C)(1)(c)* of *Part One*, the RFQ for this Project.
  - d. ***Terminations (5 points):*** Interested Subcontractors **MUST COMPLETE Schedule D** and list each and every project on which your firm was terminated or failed to complete the work as set forth in *Section VI(C)(1)(d)* of *Part One*, the RFQ for this Project.
  - e. ***Legal Proceedings (5 points):*** Interested Subcontractors **MUST COMPLETE Schedule E** and list any and all legal proceeding or administrative proceeding or arbitration currently pending against your firm. Interested Subcontractors must also list each and every legal proceeding or administrative proceeding or arbitration concluded adversely against your firm within the past five (5) years as set forth in *Section VI(C)(1)(e)* of *Part One*, the RFQ for this Project.
  - f. ***Safety Record (5 points):*** Interested Subcontractors **MUST COMPLETE Schedule F** and provide the three (3) year history of its workers' compensation modifier rating as set forth in *Section VI(C)(1)(f)* of *Part One*, the RFQ for this Project, and **MUST ATTACH** to ***Schedule F*** documentation from its insurance carrier supporting the ratings reported therein or no points may be awarded.
2. ***References - (30 points available; minimum of 15 points required for prequalification approval)***

- a. **Project References (10 points):** Interested Subcontractors **MUST COMPLETE Schedule G** and provide project references from owners and architects for all projects as required in *Section VI(C)(2)(a) of Part One*, the *RFQ* for this Project.
  - b. **Credit References (10 points):** Interested Subcontractors **MUST COMPLETE Schedule H** and provide a minimum of five (5) credit references as required in *Section VI(C)(2)(b) of Part One*, the *RFQ* for this Project.
  - c. **Public Project Record (10 points):** Interested Subcontractors **MUST COMPLETE Schedule I** and list all completed public building construction projects completed by your firm during the past three (3) years as required in *Section VI(C)(2)(c) of Part One*, the *RFQ* for this Project.
3. **Capacity to Complete Project - (20 points available; minimum of 10 points are required for prequalification approval)**
- a. **Prior Revenue (10 points):** Interested **Subcontractors MUST COMPLETE Schedule J** and list prior revenue for the last three (3) fiscal years. (Note: whether submitted in a sealed envelope or not, such audited financial statements shall not be considered public records).
  - b. **Revenue Under Contract (10 points):** Interested Subcontractors **MUST COMPLETE Schedule K** and list revenue under contract for the next three (3) fiscal years. Such financial information shall not be considered public records.
4. **Mandatory Requirements: (no points are assigned)**
- a. **Payment and Performance Bonds:** Interested Subcontractors **MUST ATTACH** to the *Sub RFQ Form 2* a commitment letter (from a surety company licensed to do business in the Commonwealth of Massachusetts and whose name appears on United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost of this Project.
  - b. **Certificate of Eligibility: (Required as of January 1, 2006)** Interested Subcontractors **MUST ATTACH** to the *Sub RFQ Form 2* a currently valid *Certificate of Eligibility* (issued by DCAMM).
  - c. **Update Statement: (Required as of January 1, 2006)** Interested Subcontractors **MUST ATTACH** to the *Sub RFQ Form 2* a current and completed *Update Statement*.

5. **Execution Requirements**

- a. **RFQ Response Checklist:** Before signing and submitting its SOQ application package for this Project, interested Subcontractors are advised to carefully review the *RFQ Response Checklist – Sub RFQ Form 3*.
- b. **Acknowledgement of Addenda.** By signing below, the interested Subcontractor **acknowledges receipt of the following addenda** to this RFQ: [Insert Addenda #]
- c. **Incomplete or Inaccurate Information:** Failure to accurately and completely provide the information requested may result in the disqualification.
- d. **Authorization to Sign:** This form MUST be signed by an officer of the firm or an individual so authorized by an officer of the firm who has personal knowledge regarding the information contained herein.
- e. **Debarment Status:** By signing below, the interested Subcontractor certifies that it is not currently debarred from performing public work for the Commonwealth of Massachusetts or the Federal Government.

***SIGNED UNDER THE PAINS AND PENALTIES OF PERJURY:***

Signature: \_\_\_\_\_

Print Name:
Title:
Telephone:
Date:











**[Insert Sub Firm Name]**

**SCHEDULE F – SAFETY RECORD:** Interested Subcontractors are required to provide the three (3) three year history of its workers' compensation experience modifier and attached documentation from its insurance carrier supporting the ratings reported herein as set forth in *Section VI(C)(1)(f) of Part One*, the *RFQ* for this Project.

YEAR	WORKERS' COMP. EXPERIENCE MODIFIER	COMMENTS

**[Insert Sub Firm Name]**

**SCHEDULE G - PROJECT REFERENCES:** Interested Subcontractors are required to list references for prior work your firm has performed as set forth in *Section VI(C)(2)(a) of Part One*, the *RFQ* for this Project.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON/ADDRESS	TELEPHONE#	FAX#
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			
	OWNER: DESIGNER:			

**[Insert Sub Firm Name]**

**SCHEDULE H - CREDIT REFERENCES:** Interested Subcontractors are required to list a minimum of five (5) credit references from banks, suppliers and/or vendors as set forth in *Section VI(C)(2)(b) of Part One*, the *RFQ* for this Project.

CHECK ONE	COMPANY NAME	CONTACT PERSON	TELE#	FAX#
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				

**[Insert Sub Firm Name]**

**SCHEDULE I - PUBLIC PROJECT RECORD:** – Interested Subcontractors are required to list all completed public buildings during the past three (3) years in accordance with Section VI(C)(2)(c) of *Part One*, the *RFQ* for this Project. (You may attach additional pages if necessary).

<b>PROJECT INFORMATION</b>	<b>CONTACT INFORMATION</b> Provide business and contact name, address, telephone and fax
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>
<b>PROJECT NAME:</b> <b>CONTRACT VALUE:</b> <b>SCOPE:</b> <b>START DATE:</b> <b>FINISH DATE:</b>	<b>AWARDING AUTHORITY:</b>  <b>DESIGNER:</b>



**[Insert Sub Firm Name]**

**SCHEDULE J – PRIOR REVENUE:** – Interested Subcontractors are required to list prior revenue for the last three (3) fiscal years in accordance with Section VI(C)(3)(a) of *Part One*, the *RFQ* for this Project.

Firm's fiscal year runs \_\_\_\_\_ to \_\_\_\_\_.

YEAR	REVENUE UNDER CONTRACT (\$)

**[Insert Sub Firm Name]**

**SCHEDULE K – REVENUE UNDER CONTRACT:** – Interested Subcontractors are required to list revenue under contract for next three (3) fiscal years in accordance with Section VI(C)(3)(b) of *Part One*, the *RFQ* for this Project.

Firm's fiscal year runs \_\_\_\_\_ to \_\_\_\_\_.

YEAR	REVENUE UNDER CONTRACT (\$)

### **RFQ RESPONSE CHECKLIST - Sub RFQ Form 3**

**NOTE: LATE APPLICATIONS FOR PREQUALIFICATION WILL NOT BE CONSIDERED. THEREFORE, BEFORE SUBMITTING A RESPONSE TO THIS RFQ, PLEASE REVIEW THE FOLLOWING:**

- Did you mail or email the *RFQ Interest Form (Sub RFQ Form 1)* to the Awarding Authority?
- Did you complete the entire SOQ application package (*Sub RFQ Form 2*)?
- Did you fully complete *Schedules A through K*?
- Did you attach the resumes of owners and management personnel identified in your responses to *Schedule A* and *Schedule B*?
- Did you attach the required documentation from your insurance company supporting the workers' compensation modifier history you reported in *Schedule F*?
- Do you have the current contact information for all of the references you reported in *Schedule G*, *Schedule H* and *Schedule I*?
- Did you attach a commitment letter for payment and performance bonds as required in *Section 4(a)* of *Part Two, Sub RFQ Form 2*?
- Did you attach a currently valid DCAMM *Certificate of Eligibility* as required in *Section 4(b)* of *Part Two, Sub RFQ Form 2*? (required as of January 1, 2006)
- Did you attach a completed and signed *Update Statement* as required in *Section 4(c)* of *Part Two, Sub RFQ Form 2*? (required as of January 1, 2006)
- Did you include the original and all required copies of your entire SOQ application package?
- Did you address the SOQ envelop correctly (i.e. to reference the Project and other required information set forth herein)?
- Did you review all of the execution requirements before signing the SOQ application form?
- Is the person who signed the SOQ application form authorized to do so and did his or her correct and current contact information?